

非自然人客户受益所有人信息登记表 (产品)  
Registration Form of Ultimate Beneficial Owner (Product)

摩根 资产管理

UBO\_Prod\_06/2026-billing

本申请表以中文和英文书写。中文版本与英文版本如出现任何不一致或有冲突的，则应以中文版本为准。  
Note: This Application Form is written in Chinese and English. If there is any inconsistency or conflict between Chinese version and English version, the Chinese version shall prevail for all purposes.

基金账户名称 Fund Account Name

基金账号 Fund Account No. (新开户免填 Blank for New Account)交易账号 Trading Account No. (新开户免填 Blank for New Account)

资产管理人名称 Name证件种类 ID Type

Asset Manager证件号码 ID Number证件有效期至 Valid Until

一、非自然人客户性质类型 Non-Natural Person Client Type

产品类型 Product Type

受益所有人识别类型 Beneficial Owner Identification Type

1. 资产管理产品  
Asset Management  
Products

☐ 金融机构公开募集或者发行的资产管理产品：将管理资产管理产品的自然人认定为受益所有人；  
Publicly offered or registered products managed by financial institutions: the natural person managing the product can be the beneficial owner;

☐ 低风险的资产管理产品，如针对企业年金、机关事业单位职业年金等设立的资产管理产品：将管理资产管理产品的自然人认定为受益所有人；  
Low-risk asset management products (e.g., enterprise annuities, occupational annuities of government agencies and institutions, financial institutions): the natural person managing the product can be the beneficial owner;

☐ 其他资产管理产品 Other Asset Management Products

第一项：是否存在直接或间接超过25%权益份额的自然人  
Item 1: If there is any individual who owns or controls, directly or indirectly, 25% or more of the equity, shares, income of the product.  
☐ 是 Yes, we have. ☐ 否 No, we have not.

第二项：是否存在拥有对基金或产品进行控制的自然人（如基金经理、投资经理等，如无投资经理或基金经理，需提供说明）  
Item 2: If there is any individual who exercises actual control over the product, either individually or jointly. (such as fund managers, investment managers, etc. If no, an explanation should be provided.)  
☐ 是 Yes, we have. ☐ 否 No, we don't have.

(请填写第二栏资产管理产品类型受益所有人信息 Please select and fill in the second part with information on the beneficial owner of the asset management product)

☒ 委托人 Grantor/Settlor ☒ 受托人 Trustee ☒ 受益人 Beneficiary ☐ 监察人 Supervisor/Protector (如有 if any) ☐ 其他对信托实施最终有效控制的自然人 Other natural persons who exercises ultimate effective control over the trust, either individually or jointly.  
(可多项勾选，并填写第三栏信托类受益所有人信息 Multiple selections are allowed; please fill in the third column with information on the beneficial owner of the trust product. )

2. 信托  
Trust

二、受益所有人信息 Information of the Ultimate Beneficial Owner (资产管理产品 Asset Management Product) (如不够填写可另加附页)

姓名 Name	性别 Gender	国籍 Nationality	出生 日期 Date of Birth	身份 证件类型 ID Type	证件号码 ID Number	有效期 Expiry Date	联系地址 <sup>1</sup> Contact Address (格式：国家或地区/省/市/县/街道/单元及门牌号 Format: Country or Region/Province/City/County/Street/Unit and Room Number)	职务 Title	受益所有权 形成时间 the time of ownership formation	受益所有权终止时间(如有) the time of ownership termination (if applicable)	股权/收益权/权益份额 (类型和数量) Percentage of Shares/Income/Equity (Type and Quantity)

上述受益所有人的识别和判断证明材料 Supporting documents to certify the ownership of above UBO:

☐ 产品合同，产品份额持有人名册等相关支持文件 Product contracts, register of product shareholding participants, and related supporting documents; ☐ 其他 Others: \_\_\_\_\_

三、受益所有人信息 Information of the Ultimate Beneficial Owner (信托类 Trust) (如不够填写可另加附页)

信托关系人 <sup>2</sup> Trust-related Parties	姓名 Name	性别 Gender	国籍 Nationality	出生 日期 Date of Birth	身份 证件类型 ID Type	证件号码 ID Number	有效期 Expiry Date	联系地址 <sup>1</sup> Contact Address (格式：国家或地区/省/市/县/街道/单元及门牌号 Format: Country or Region/Province/City/County/Street/Unit and Room Number)	职务 Title	受益所有权 形成时间 the time of ownership formation	受益所有权终止时间(如有) the time of ownership termination (if applicable)	股权/表决权比例/收益权/权益份额 (类型和数量) Percentage of Shares/Voting Rights/Partnership/Income (Type and Quantity)

上述受益所有人的识别和判断证明材料 Supporting documents to certify the ownership of above UBO:

☐ 信托登记证明文书 Trust registration certificate ☐ 信托合同 Trust contract ☐ 成立的协议文件 Trust establishment agreement ☐ 其他 Others: \_\_\_\_\_

投资人声明 Investor Statement:

1. 本机构建立/维持业务关系和交易的目的和性质:  
The purpose and intended nature for establishing and maintaining the relationship with JPMorgan Asset Management (China) Company Limited:  
☐ 1. 资产保值 Capital preservation ☐ 2. 资产增值 Capital appreciation ☐ 3. 境内投资 Onshore investment ☐ 4. 境外投资 Offshore investment ☐ 5. 其他 Others (请具体说明 Please specify)

2. 本机构承诺所提交文件、信息的真实性、有效性、准确性、完整性，并对其承担责任。如提供的信息发生任何重大变更，将及时书面通知贵司。  
We guarantee and are responsible for the authenticity, validity, correctness and completeness of the documents and information we have submitted to JPMAM China. We will inform JPMAM China in writing if any significant change happens to the information we provided.

机构公章(或机构账户经办人签字)  
Company Chop (or Signature of Account Handler)

附注Note:  
1. 联系地址为经常居住地或者工作单位地址，如联系地址为居住地址，则该居住地址为连续居住一年以上的地址。Contact address refers to the residential address or work address of the beneficial owner. The habitual residence address refers to the place where the concerned individual has continuously resided for more than one year.  
2. 信托关系人 Trust-related parties: 委托人 Grantor/Settlor, 受托人 Trustee, 受益人 Beneficiary, 监察人 Supervisor/Protector, 其他对信托实施最终有效控制的自然人 Other natural persons who exercises ultimate and effective control over the trust, either individually or jointly.